

香港證監會中央編號 SFC CE No. : AEU219  
香港聯合交易所參與者編號 HKEX Participant ID : 01661  
經紀代號 Broker No. : 2250, 2258-2259

## 年長客戶開戶聲明 ELDER CLIENT ACCOUNT OPENING DECLARATION

客戶姓名 CLIENT NAME : _____	
出生日期 (日/月/年) DATE OF BIRTH (DD/MM/YY) : _____	賬戶號碼 ACCOUNT NO. : _____

致：凱敏證券有限公司 (下稱「貴公司」)  
TO : HERMES SECURITIES LIMITED (hereinafter referred to as “the Company”)

本人(姓名) \_\_\_\_\_ 為 65 歲或以上年長客戶，現作出聲明接受及確認以下內容：  
I, (Name) \_\_\_\_\_, being a client aged 65 or above, hereby declare, accept and confirm as follows:

本人謹此聲明，在開設交易賬戶前，貴公司的職員已向本人清楚解釋及披露開戶的佣金費用及投資產品所涉及之風險，本人接受及明白有關內容。本人欲購買有關其他投資產品時(泛指非認可交易所買賣證券及或衍生投資產品/聯交所創業板證券)<sup>1</sup>，貴公司亦可婉拒該購買指示。 I hereby declare that the Company's staff have clearly explained and disclosed the fees and charges, and the relevant underlying risks of the investment products before opening a trading account and I accept and fully understand the same. If I wish to purchase other investment products (referring to securities not traded on recognized exchanges and/or derivative investment products/GEM securities of the Stock Exchange)<sup>1</sup>, the Company may decline to effect such instructions.

本人亦明白貴公司保障客戶利益，若年齡為 75 歲或以上的年長客戶，只可以選擇開設證券現金賬戶。貴公司亦有權拒絕開戶申請。 I also understand that based on the Company's Protection to Investors' Interests principle, if the client is aged 75 or above, he/she can only choose to open a securities cash account. The Company also has the right to refuse the account opening application.

本人特此聲明，本人明白並同意承擔開設交易賬戶的投資買賣運作及相關風險。 I hereby declare that I understand, accept and agree to bear all risks and any loss arising from the investment products and will not hold the Company liable.

客戶簽署 CLIENT SIGNATURE : _____ _____
日期 DATE : _____

Internal Use Only			
S.V. by	Input by	Checked by	Approved by RO
Name:	Name:	Name:	Name:
Date:	Date:	Date:	Date:

<sup>1</sup> 泛指非認可交易所買賣證券/衍生投資產品/聯交所創業板證券-買賣包括(但不限於)以下產品：房地產投資信託基金及交易所買賣基金/債券、股票掛鈎票據、貨幣掛鈎票據/投資合約、結構性票據、認股權證及交易所買賣基金以外的單位信託/互惠基金。

<sup>1</sup> Refers to non-recognized exchange-traded securities/derivative investment products/GEM-listed securities - trading includes (but is not limited to) the following products: real estate investment trusts and exchange-traded funds/bonds, equity-linked notes, currency-linked notes/investment contracts, structured notes, warrants, and unit trusts/mutual funds other than exchange-traded funds.”